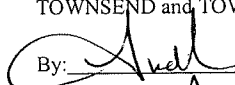


I hereby certify that this correspondence is being filed via  
EFS-Web with the United States Patent and Trademark Office  
on October 15, 2009

PATENT  
Docket No.: 020425-105900US  
Client Ref. No.: SCHB-500

TOWNSEND and TOWNSEND and CREW LLP

By:   
Andrea S. Beck

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

James D. Peterson

Application No.: 10/698,140

Filed: October 31, 2003

For: System and Method for Providing  
Financial Advice for an Investment Portfolio

Customer No.: 20350

Confirmation No.: 2938

Examiner: Eric Tak Wai Wong

Art Unit: 3693

ELECTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Election is responsive to the Office Action mailed on September 24, 2009, which restricts the claims as follows: Group I with claims 1, 3, 28-34, 36 and 37, drawn to a method for providing financial advice including placing assets into separate accounts and Group II with claims 14, 17-25 and 35 drawn to a method for providing financial advice including applying a specific subset of rules.

**Applicants elect the claims of Group I for examination on the merits**, with traverse. Applicants submit that the claims of Group I and II have already been searched and examined, and that the search and examination of both Groups of claims is not unduly burdensome.

Respectfully submitted,



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PRJ:asb 62243031 v1